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A multifunctional lipid incorporating active targeting and dual-control release capabilities for precision drug delivery

Chenyu Liu^{1,2}, Kai K. Ewert², Weihe Yao¹, Ning Wang¹, Youli Li³, Cyrus R. Safinya^{2*}, Weihong Qiao^{1*}

¹ State Key Laboratory of Fine Chemicals, School of Chemical Engineering, Dalian University of Technology, Dalian, 116024, P. R. China

² Materials, Physics, and Molecular, Cellular & Developmental Biology Departments, University of California at Santa Barbara, California 93106, USA

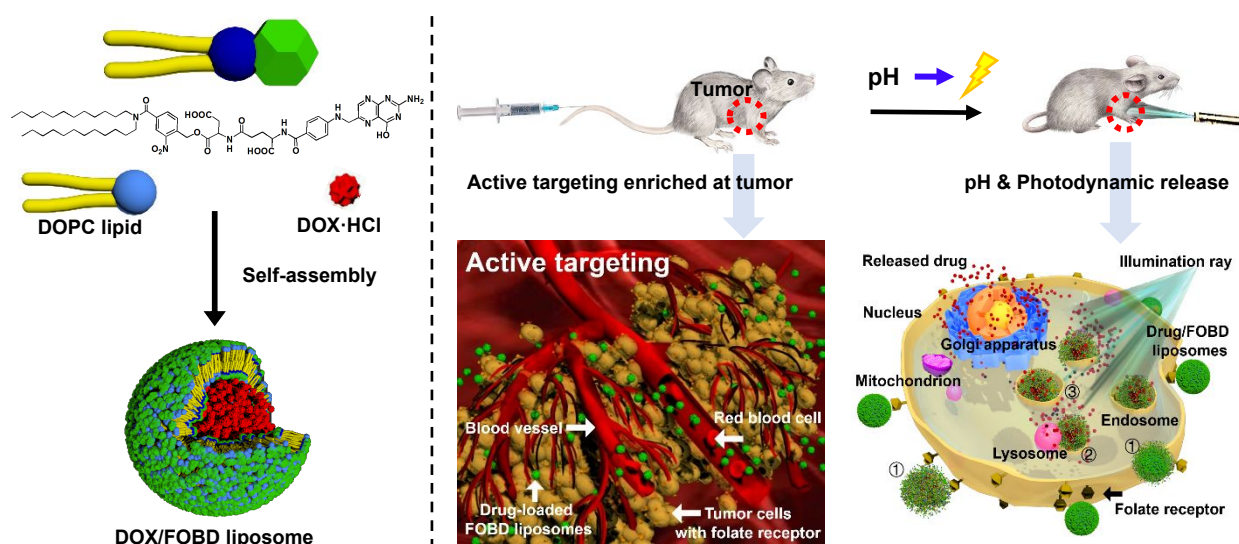
³ Materials Research Laboratory, University of California at Santa Barbara, California 93106, USA

*Corresponding authors contact information:

Safinya@mrl.ucsb.edu

qiaoweihong@dlut.edu.cn

TOC graphic



Abstract

Active targeting and precise control of drug release based on nanoparticle-based therapies are urgently required to precisely treat cancer. We have custom synthesized a functional lipid (termed Fa-ONB) by introducing a folic acid targeting group into an *o*-nitro-benzyl ester lipid. As designed, the liposomes formed by Fa-ONB combine active targeting and dual trigger release capabilities, which help to improve drug efficacy and reduce the toxicity of traditional liposomes. We first verified that both pH-induced hydrolysis and light treatment were able to cleave Fa-ONB lipid. We then prepared a series of liposomes (termed FOBD liposomes) by compounding the Fa-ONB lipid with DOPC at different ratios. After encapsulation of doxorubicin (DOX), we found that the particle size of DOX-loaded FOBD liposomes (DOX/FOBD) first increased (290 nm to 700 nm) and then decreased again (to 400 nm) under continuous UV irradiation (120 min). The photocatalytic release efficiency under different pH conditions was investigated by dialysis experiments, and it was found that the release efficiency in an acidic environment was significantly increased relative to neutral pH. This pH-triggered release response helps distinguish pathological tissues such as lysosomal compartments and tumors. The light-induced formation of a DOX precipitate increases in efficiency with increasing UV exposure time as well as with increasing environmental acidity or alkalinity. In addition, confocal imaging and flow cytometry showed that the ability of FOBD lipids to actively target HeLa cells increased with increasing Fa-ONB lipid content. Real-time *in vivo* fluorescence small animal experiments proved targeting to tumors and pH- and photo-induced release properties. Furthermore, therapeutic experiments using a mouse model found significant tumor inhibitory effect for DOX/FOBD55 liposomes with UV irradiation, clearly demonstrating the benefit of light treatment: the tumor size of the control (PBS) group was 7.59 times that of the light treatment group. Therefore, this research demonstrates the benefits of combining triggerable release functions and effective active tumor targeting in one small lipid molecule for precise cancer treatment.

Keywords

Cancer therapy, Nanoparticles, Folate-targeted drug delivery, O-nitrobenzyl, Dual-control release

1. Introduction

Cancer is a major disease that threatens human life and millions of people are diagnosed with this disease every year.¹ At present, chemotherapy is still the most applied clinical treatment together with surgery and radiotherapy, but it has many shortcomings, such as the non-specific distribution of anticancer drugs, which often causes side effects on other tissues (e.g. myelosuppression, cardiomyopathy, and neurotoxicity).² Moreover, the anti-tumor drugs commonly used in clinical practice generally lack selectivity to tumor tissues³ and a significant quantity of them will be devoured by the lymphatic system, necessitating increasing the dosage of drug with inescapable damage on the immune system. The lack of techniques to responsibly regulate treatment, and multi-drug resistance make the present clinical treatment unsatisfactory. Nano-drug-carrier technology is one of the promising directions of nanobiotechnology for modern pharmacy.⁴ The drug is encapsulated in or adsorbed on the surface of submicrometer-size particles that could desirably regulate the release rate, increase the permeability of biological barriers, change the distribution of drug *in vivo* or improve the bioavailability of drugs.^{5,6} Research in the field of medical applications is still in its infancy, and its application prospects are broad.⁷

In order to reduce the toxicity and improve the efficacy simultaneously, tumor-targeted nanomedicine therapy that selectively destroys tumor tissue has become the dominant direction of cancer therapy.⁸ Compared to an active targeting vector system that utilizes antigen/antibody or ligand/receptor specific binding to achieve targeted drug delivery, conventional liposome-based passive targeting (EPR) drug delivery lacks an active recognition process, thus leading to poor therapeutic efficacy.⁹⁻¹¹ Because of their relatively straightforward process of synthesis, separation and purification and favorable biosafety properties, small molecule targeting moieties are widely applied to active targeted therapy.¹²⁻¹⁴ In most targets, folic acid and its derivatives¹⁵⁻²⁰ is a natural ligand for folate receptors and can be reduced to tetrahydrofolate for participating in the metabolism. Many epidemiological and clinical studies have shown that folic acid has preventive effects on colon, lung, pancreatic, esophageal, cervical cancers etc. and the lack of folate can lead to hypomethylation of genomic DNA and hypermethylation of

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4 specific sites within the nucleotide, thereby causing tumorigenesis. Therefore, it is generally
5 believed that supplementing folic acid helps inhibit cancer.²¹ Studies have found that under
6 physiological conditions, folate receptors are expressed at low levels in the lung, thymus,
7 kidney, choroid plexus, and placenta. However, the expression of folate receptors in malignant
8 cell lines is 20 to 200 times higher than in normal cell lines.^{22, 23} The expression level of folate
9 receptor is not only positively correlated with the malignant degree and metastatic invasiveness
10 of tumor tissues, but also express higher in metastatic tumors than in in-situ tumors.²⁴ Therefore,
11 the introduction of folic acid into the liposomal lipid for drug deliver can be beneficial in many
12 aspects, including targeting specific cancer cells, treating diffuse cancer and supplementing the
13 human body during the treatment.
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25 In addition to enhanced aggregation of drug delivery particles on the targeted cells, effective
26 release of the drug is crucial to successful delivery. Considering that most anticancer drugs
27 need to transfer into the nucleus and combine with certain specific parts of DNA to inhibit a
28 gene's transcriptional activity,²⁵⁻²⁸ the low efficacy of many (even targeted) drug-loaded
29 liposomes, i.e., of the fraction of liposomes which have bypassed the major barriers of
30 macrophage/immune system clearance and uptake by the liver and have reached the tumor,
31 may be related to their inability to transfer into the nucleus effectively. As the specific
32 extracellular environment of tumor tissue is weakly acidic (pH 6.0-6.8, strong anaerobic
33 metabolism), and the pH value of intracellular organelles involved in liposome metabolism is
34 even lower (such as lysosome, pH 4.0-6.0), pH-sensitive lipids that can react to this endogenous
35 stimulus are good candidates for controlled release. However, most of the single pH-triggered
36 liposomes currently reported only promote the release efficiency around 20%.²⁹⁻³³ Additionally,
37 diffused cancer cells cause pH environments indistinguishable from normal tissue, which limits
38 the effectiveness of pH-regulated release from liposomes. Thus, it would be very difficult for
39 a single-reaction drug delivery system to meet the requirement of automatically regulated
40 release.^{34, 35}
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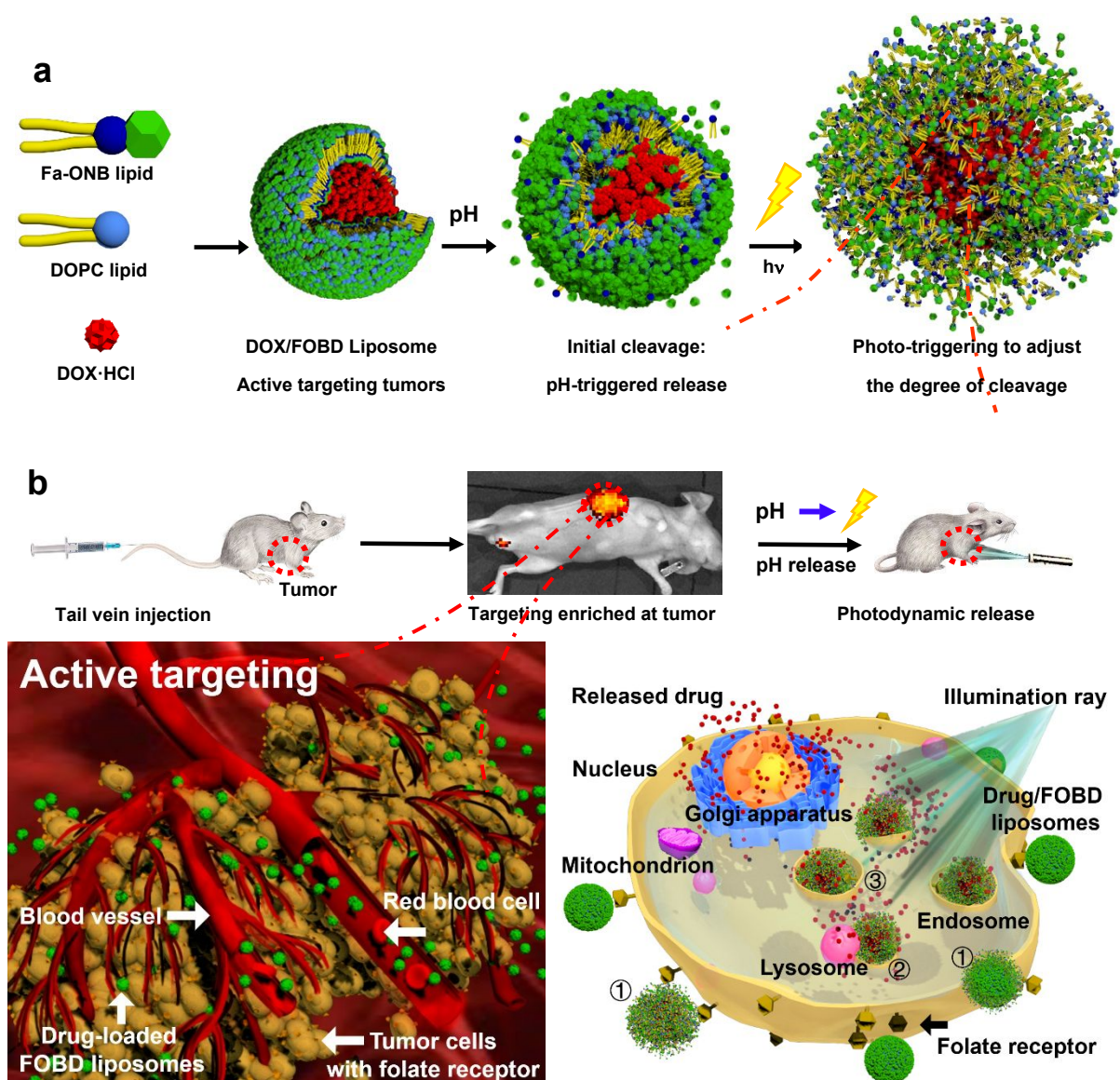
58 Photo-induced release (exogenous stimulation) has advantages in high local precision,
59 convenient triggering conditions, precise manual regulation release, and small damage to
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4 healthy tissues.³⁶⁻⁴⁰ To this end, combining a photo-controlled dissociable part with a pH-
5 triggered cleavable site could provide additional ability to modulate the drug release process.
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7 The *o*-nitrobenzyl ester bond can be dissociated under acidic or alkaline conditions and can
8 also be easily photolyzed by ultraviolet light (Norrish Type II intramolecular rearrangement).⁴¹
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10 Moreover, the micelles formed with *o*-nitrobenzyl groups can be light-decomposed by
11 absorption of two NIR photons under near-infrared (NIR) light conditions.⁴²⁻⁴⁷ This highly
12 expands the use of *o*-nitrobenzyl compounds as human tissue can transparent more NIR light
13 than UV light. Alternatively, upconverting nanoparticles (UCNPs, anti-Stokes materials) can
14 be packaged into nanoparticles to circumvent the weak tissue penetration of UV light.⁴⁸⁻⁵¹
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16 Therefore, the *o*-nitrobenzyl ester moiety is one of the simplest structures that can realize two
17 major control methods (pH and photo sensitivity) simultaneously. We hypothesized that a
18 suitable *o*-nitrobenzyl ester derivative could promote pH-induced initial release of its drug
19 cargo which could then be enhanced more precisely and manually with tailored light therapy
20 conditions to locally release the additional drug.
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33 Here, we propose a new method for combining active targeting with dual triggering release
34 abilities in one single lipid (termed Fa-ONB) for creating a precise drug carrier. The lipid is
35 designed with multifunction features: the two lipid tails in the molecule allow for liposome
36 formation,^{52, 53} the *o*-nitrobenzyl ester enable dual (pH and light) triggering reactions, and the
37 folate moiety actively targets cancer cells. As shown in Scheme 1a and b, by compounding
38 with DOPC lipids, the surface of the drug-loaded liposomes (abbreviated as drug-loaded FOBD
39 liposomes) were uniformly covered by folic acid moieties, which led to active enrichment at
40 tumor cells after injection into a tumor mouse model. In addition, under the stimulation of the
41 acidic intracellular or extracellular environment of the tumor site, the FOBD liposomes initially
42 release the loaded drug. Subsequently, controlled phototherapy could be employed to release
43 additional drugs.
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54 The Fa-ONB lipid was synthesized by conjugating activated folic acid to a lipid scaffold
55 prepared from 4-(bromomethyl)-3-nitro-benzoic acid and didodecylamine. After verifying the
56 sensitivity of the Fa-ONB lipid to pH- and light-triggered cleavage at the molecular level, a
57 series of FOBD liposomes (compounded with different proportions of DOPC lipids) were
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prepared. The drug doxorubicin (DOX; commonly used for chemotherapy) was selected as the encapsulated drug, and the triggering characteristics and cytotoxicity of the series of FOBD and DOX/FOBD liposomes were evaluated. Subsequently, we used flow cytometry, confocal imaging, and small animal fluorescence imaging systems to verify the active targeting and dual-triggered drug release of DOX/FOBD liposomes. Based on their tumor actively targeting ability, encapsulation efficiency and controlled release characteristics, we chose FOBD55 liposomes as the composition to study its therapeutic effect in a mouse model. The UV light was used to induce the photolysis of DOX/FOBD55 liposomes in the subcutaneous tumors, and it was found that the phototherapy group most inhibited tumor growth.



Scheme 1. Schematic depiction of FOBD liposomes for active targeting and controlled drug release. (a) Fa-ONB lipids compounded with DOPC lipids to encapsulate DOX (doxorubicin hydrochloride, DOX·HCl) for preparing drug-loaded FOBD (DOX/FOBD) liposomes. Active targeting of the tumor cells is achieved because the entire surface of the FOBD liposome is uniformly covered by the folic acid target. In a tumor or intracellular environment (low pH acidic environment), the o-nitrobenzyl ester bond of the FOBD liposome could be partially dissociated with a small amount of drug release. Lately, the degree of release can be controlled by adjusting the illumination conditions for an additional light-triggered release. (b) The overview of using FOBD liposomes for cancer treatment. The drug loaded FOBD liposomes are actively targeting and enriched at the tumor sites after being injected into a tumor mouse model (detection by animal fluorescence system). The external acidic environment of the tumor will stimulate the initial release of the drug-loaded liposomes (and drug transfers into the cell due to the concentration gradient between the outside and inside of the cell (①)). Most of the DOX/FOBD liposomes quickly enter the cell by active transport. A portion of these internalized liposomes are cleaved by the action of acidic lysosomes. This release of free DOX inside the cell establishes a DOX concentration gradient between nucleus and cytoplasm, and this gradient (which is enhanced by the interaction of DOX with DNA in the nucleus) drives free DOX transfer to the nucleus (②). (Only the released free DOX can transfer to the nucleus because liposomes are not small enough to pass through the nuclear pores.) Meanwhile, by irradiating the tumor site, with the pH stimulation, the DOX/FOBD liposomes could release additional drug from endocytosed, thereby accelerating the transfer of drug to the nucleus (③).

2. Experimental Section

2.1 Photolysis properties of Fa-ONB lipids

The Fa-ONB lipid was dissolved in DMF and divided into 12 portions (in closed quartz cell). These samples were irradiated under UV light (365 nm, 60 W) for 0, 5, 15, 30, 45, 60, 70, 80, 90, 100, 110 and 120 min. After irradiation, UV, IR (0, 5, 60, 120 min) and TLC⁵⁴ were used to judge the degree of light-cleavage, and mass spectrometry was performed to identify the compounds generated after illumination.

2.2 pH-sensitive properties of Fa-ONB lipids

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3 Dissolve an equal amount of Fa-ONB lipid in an equal volume of buffer at different pH
4 (phosphate, pH=4.2, 6.5, 7.4, 8.0 and 8.5). Stir at room temperature for 30 minutes, then
5 analyze the compound samples by TLC, IR (pH = 6.5 and pH = 8.5) and MS. The new
6 generated product was separated by silica gel chromatography, and then MS, and NMR were
7 used for charactering the resulting colorless oil.⁵⁴
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14 **2.3 Buffering capacity of Fa-ONB lipid**

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16 The Fa-ONB lipid was dissolved in deionized water to obtain a 40 mL of 1 mM solution. The
17 solution was divided into two portions, and the pH values of these two solutions were adjusted
18 with 0.01 mM HCl and 0.01 mM NaOH, respectively. The volume of the dropping acid or
19 alkali and the pH of the solution were compared to the pH buffering capacity of saturated NaCl
20 solution.
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28 **2.4 Preparation of FOBD liposomes**

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30 787.6 mg of DOPC lipid and 106.9 mg of Fa-ONB lipid were separately dissolved in 10 mL of
31 chloroform/methanol (1:1, v/v) to prepare two 10 mM stock solutions. The prepared stock
32 solutions were mixed at a ratio of 10:0, 7:3, 5:5, 3:7, and 0:10 to prepare a series of FOBD
33 liposome samples (e.g., composite lipid with Fa-ONB/DOPC equals 7:3 was named FOBD73).
34 The solvent was evaporated under nitrogen and dried in vacuum at 30 ° C for 12 h, and then 4
35 mL of PBS (0.01 M) was added to configure a 0.25 mM sample. The mixture was incubated
36 for an additional 24 hours at 37 °C, then ultrasonicated to obtain uniformly dispersed liposomes
37 (FOBD). (15 min, power 50%, ultrasonic 3 s, intermittent 10 s).
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48 **2.5 Characterization and pH-based photolysis behavior of empty FOBD liposomes**

49 For studying liposome stability, samples of sonicated FOBD55 liposomes were selected as a
50 representative sample to incubate in the dark environment for 15 days and the Malvern
51 Zetasizer Nano ZS was used to measure the particle size of it. Aliquots of a 0.25 mM empty
52 FOBD73, FOBD55 and FOBD37 liposomes were separately prepared in phosphate buffer with
53 different pH (4.2, 6.5, 7.4 and 8.5) and irradiated with 0, 5, 10, 20, 30, 60, 90 and 120 min to
54 assess the effects of light irradiation and pH triggering on liposomes.⁵⁴ The degree of
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4 dissociation of FOBD liposomes under different pH and light conditions were observed by UV
5 spectrum. The Malvern Zetasizer Nano ZS was used to measure the size distribution and
6 hydrodynamic diameter of the empty FOBD liposomes. Several light exposed 30 μL of FOBD55
7 samples (0, 10, and 60 min) were placed on copper grids for getting transmission electron
8 microscopy imaging (TEM, HT7700 EXALENS electron microscope).
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15 **2.6 Encapsulation efficiency of DOX/FOBD at different lipid/drug ratios**

16 Varying amounts of DOPC and Fa-ONB stock solution at the ratio of 10:0, 7:3, 5:5, 3:7 and
17 0:10 were evaporated under nitrogen. After drying overnight, a stock solution of 10 mM
18 DOX·HCl in PBS (pH=7.4, 0.01 M) was added in each sample with a lipid/drug ratio at 3:1.⁵⁴
19 The ultrasonicated solutions were incubated for 24 h at 37 °C, transferred to dialysis bags
20 (MW cutoff=1000) and then immersed in 600 mL PBS. Exchange the PBS solution every 0.5
21 h for 4 h. After that, the solvent (water) in each sample was freeze-dried, and the DOX
22 encapsulation efficiency of each sample was tested by the UV spectrum (in DMF, referring to
23 the standard curve of free DOX).
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35 **2.7 Photolysis ability of DOX / FOBD liposomes**

36 Considering the drug encapsulation rate, samples of DOX/FOBD73, DOX/FOBD55,
37 DOX/FOBD37 liposome solution were chosen (encapsulation rate is around 55%) and
38 irradiated for 0, 5, 10, 20, 30, 45, 60, 75, 90, and 120 min. Malvern Zetasizer and TEM⁵⁴ were
39 used to assess the size distribution, hydrodynamic diameter and morphological changes of
40 DOX/FOBD55 liposomes.
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49 **2.8 Photo-release ability of pH-based DOX/FOBD liposomes**

50 For simulating the pH and photo-controlled release ability of DOX/FOBD73, DOX/FOBD55,
51 DOX/FOBD37 liposomes *in vivo*, we prepared a 0.25 mM DOX/FOBD liposome in PBS
52 (pH=7.4),⁵⁴ and divided it into 3 equal parts. A 365 nm wavelength UV light (60 W) was used
53 for irradiating liposomes solution (samples were collected at 0, 10, 30, and 60 mi). Then
54 divided each collected sample in quadruplicate, and dialyzed them in 100 mL of phosphate
55 buffer with different pH values (pH = 4.2, 6.5, 7.4 and 8.5). The dialysis was continued for 72
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4 h, and the cumulative release curve of DOX was plotted by fluorescence spectroscopy.⁵⁴
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7 8 **2.9 Cytotoxicity of FOBD and DOPC liposomes**

9 Raw 264.7 macrophages, Hela7702, MCF-7 and HepG2 cells were seeded in 96-well plates
10 (approximately 10^5 cells/mL) and placed in an incubator (37 °C, 5% CO₂ atmosphere). A total
11 of 100 μL of fresh medium containing 20 μL of FOBD73, FOBD55, FOBD37, DOPC empty
12 liposome suspensions (at 1.8 mM, 0.9 mM, 0.45 mM, 0.225 mM, 0.112 mM, 0.056 mM, 0.028
13 mM, 0.014 mM) was added when the cells had reached about 80% confluence. Aspirating the
14 medium after cells were incubated for 24 h (in the incubator, 37 °C, 5% CO₂ atmosphere), and
15 then washed the cells three times with fresh PBS buffer. After that, cell survival was obtained
16 by adding MTT (solution, 0.5 mg/mL).⁵⁴
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27 28 **2.10 Cytotoxicity of DOX/FOBD liposomes**

29 Raw 264.7 macrophages, Hela7702, MCF-7 and HepG2 cells were seeded as described above
30 (2.9), and then a total of 100 μL of medium containing 20 μL of a 0.25 mM suspension of
31 DOX/FOBD73, DOX/FOBD55, or DOX/FOBD37 liposomes (same encapsulation rate,
32 around 55%) was added. Untreated control cells and treated cells were exposed under
33 ultraviolet light for 0, 5, 10 and 20 min. To compare the cytotoxicity, we set another two groups
34 of cells, respectively treated with free DOX and DOX/DOPC liposomes (equal amount of DOX
35 to the FOBD liposome suspensions). The cell viability was measured as described above
36 (MTT).
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47 48 **2.11 Targeting ability and photolysis ability of DOX/FOBD liposomes in cell**

49 MCF-7 and Hela7702 were seeded in 6-well plates (10^6 cells/mL) and then were incubated
50 with 2000 μL of fresh medium containing 500 μL of free DOX, DOX/FOBD73,
51 DOX/FOBD55, DOX/FOBD37, DOX/DOPC and pre-irradiated 5 min of DOX/FOBD55
52 liposome suspensions (equal amounts of DOX, 0.25 mM of liposomes) for 90 min. After that,
53 for each well, the medium was aspirated and replaced with fresh PBS, and then a total of 200
54 μL of a 0.03% (w/v) EDTA and 0.25% (w/v) trypsin solution was added to dissociated cells
55 for 15-20 seconds. We next centrifuged the suspensions 3 min at $1000 \times g$, washed them twice
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4 with PBS, re-suspended each sample in 500 μ L PBS, and recorded the fluorescence histograms
5 immediately by a flow cytometer (Thermo Fisher Scientific Attune NxT, 10,000 gated events,
6 based on DOX fluorescence).
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10 11 **2.12 Direct visualization of the internalization of DOX-loaded FOBD liposomes**

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Hela cells were added in three 35-mm culture dishes (10^5 cells/mL) and cultured in an incubator for 12 h. The cell culture medium in each dish was refreshed (1 mL of medium), and a total of 250 μ L of prepared above suspension (2.11) of free DOX, DOX/DOPC, DOX/FOBD55 and pre-irradiated (5 min) DOX/FOBD55 liposomes were respectively added to the culture dish. After incubation for 90 min, cells were washed with PBS, stained with 4% poly(oxymethylene) for 30 min, fixed with DAPI dye for 15 min, then dyed with DIO for 30 min. In the end, we washed the cells three times with PBS and then observe the fluorescence images of cells under the confocal microscope (OLYMPUS, FV1000).

31 32 **2.13 Cancer treatment in a human cervical tumor mouse model**

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All animal experiments were performed in accordance with the guidelines of the National Institutes of Health and approved by the Animal Ethics Committee of Dalian University of Technology.

Human cervical cancer cells (Hela-7702; 5×10^7 cells/mL) were used to construct tumor mouse models.⁵⁴ After the average tumor size of mice was reached approximately 95 mm³. The mice were randomly divided into 5 groups: control group (received PBS); DOX group (injected with free DOX solution); DOX/DOPC group (received DOX/DOPC liposomes); DOX/FOBD55 group (injected with DOX/FOBD55 liposomes); DOX/FOBD55-UV group (after injection of DOX/FOBD55 liposomes about 120 min, the tumor site was intermittently exposed under UV light for 10 min (for each time, 2 min on, 5 min off)). Every two days, injected the drug (DOX dose 2.5 mg/kg, via tail vein) and recorded the body weight and tumor size⁵⁵⁻⁵⁷ for evaluating the effect of the therapies. The liver, spleen, heart, kidney, lung, and tumor tissues of mice were stained (hematoxylin-eosin) at the end of the treatment for evaluating systemic toxicity by an inverted microscope.^{58, 59} Furthermore, in the tumor tissues, the distribution of DOX (green fluorescence) was observed by confocal microscopy (OLYMPUS, FV1000).⁶⁰

2.14 Real-time *in vivo* fluorescence imaging of mice

We performed DOX/FOBD55, DOX/FOBD55-UV and DOX/DOPC groups to verify the tumor targeting abilities and dual-triggered control release capabilities of FOBD55 liposomes (the treatment was described as above (2.13)). Berthold LB983NC100 (real-time *in vivo* imaging system) was used for monitor the fluorescence of DOX.^{54, 61, 62} At injection of 24 h, the major organs and tumors of the sacrificed mice were collected and imaged to evaluate the active targetable capability and the biodistribution of DOX/FOBD liposomes.^{54, 63-65}

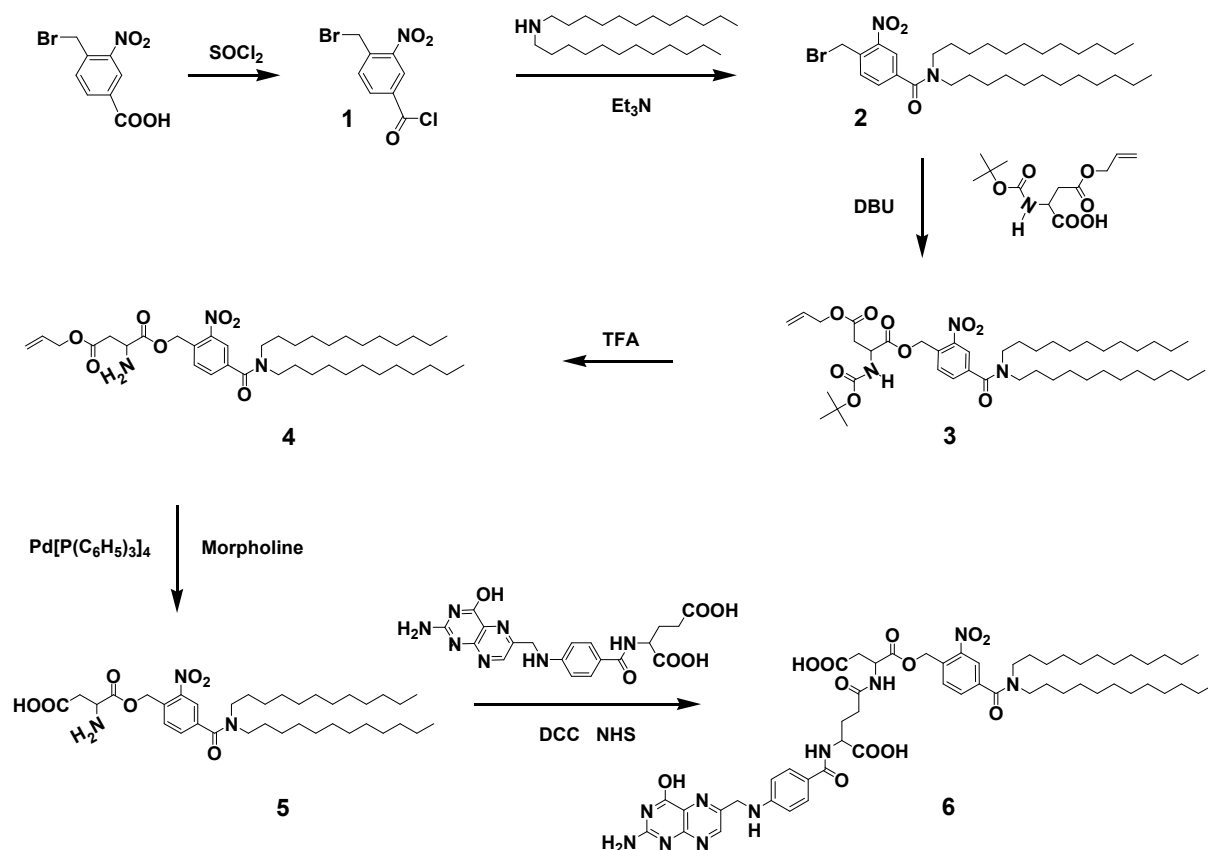
3. Results and Discussion

3.1. Design and synthesis of the active targeting, dual-responsive Fa-ONB lipid

In order to achieve precision therapy and prevent the indiscriminate release phenomena in normal tissues, especially to reduce the drug toxicity and side effects, we designed a liposome with highly targeted and dual-trigger induced release functions. The introduction of two hydrophobic chains enables lipids to self-assemble in water and form liposomes for the encapsulation of therapeutic drugs. The folic acid is chemically integrated into the lipid so that the liposomes can actively target the tumor cell *in vivo*. The *o*-nitrobenzyl ester bond, as a controlling moiety to incorporate pH- and light-responsiveness, is the key aspect of the design, because it combines the targeting and controllable release functions in one simple structure. In the design, tumor-targeted liposomes initially dissociate by the endogenous pH trigger, and light is used as an external trigger to achieve a more accurate multistage release response allowing for accommodating the differences in lesion severity by changing the light intensity, illumination time or wavelength.^{66, 67}

Scheme 2 shows the detailed synthetic route for the Fa-ONB lipid. Firstly, 4-(bromomethyl)-3-nitrobenzoic acid was coupled with didodecylamine by amidation to introduce the hydrophobic moiety. Then, through the nucleophilic substitution of the carboxyl group in Boc-Asp(O-allyl)-OH, the *o*-nitrobenzyl ester bond was created. After that, we removed the Boc-protecting group by trifluoroacetic acid to reveal the amine group for reacting with the β -

carboxyl group of folic acid to synthesize the Fa-ONB lipid. The allyl protecting group was removed from the compound by tetrakis (triphenylphosphine) palladium (0) to increase the water solubility of the lipid. Once the *o*-nitrobenzyl ester bond has been formed, the molecules are sensitive to pH and ambient light, so thorough drying of solvents and protection from light are essential for the success of the synthesis. Furthermore, it is important to remove any residual trifluoroacetic acid by repeated column chromatography.



Scheme 2. The synthesis route of the Fa-ONB lipid; Et₃N: triethylamine; DBU: 1,8-Diazabicyclo[5.4.0] undec-7-ene; TFA: trifluoroacetic acid; DCC: Dicyclohexylcarbodiimide; NHS: N-Hydroxysuccinimide;

3.2 The pH-based light-responsiveness of the Fa-ONB lipid

We explored the dissociation effects of pH and UV Fa-ONB lipids at the molecular level, before investigating the release properties of liposomes formed by Fa-ONB lipid. First, the Fa-ONB lipid sealed in a quartz tube was continuously irradiated with different lengths of time (UV light, 365 nm, 60 W). In the UV absorption spectrum (Figure 1c), the peak at 285 nm

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4 decreases significantly, and the intensity of the peak at 378 nm has increases. Moreover, the
5 peak changes are strongest within 0-30 min, which means the photolysis is a rapid process.
6 Samples were collected at 0, 5, 60, 120 min to record the IR spectrum and perform TLC. As
7 shown in Figure S8, after 5 min of illumination, the peaks of $\nu_{\text{as}}\text{NO}_2$ at 1506-1430 cm^{-1} and the
8 peak of $\nu_{\text{s}}\text{NO}_2$ at 1430-1330 cm^{-1} largely disappeared, while the stretching vibration peak of
9 Ar-NO appeared at 1310-1214 cm^{-1} . That means there was significant dissociation occurring
10 after only 5 minutes of irradiation as the Ar- NO_2 in the Fa-ONB lipid was converted to Ar-NO.
11 Similarly, TLC (Figure S9) shows that the Fa-ONB lipid disappeared upon illumination while
12 a more hydrophobic (less polar) compound was generated. Mass spectrometry identified one
13 of the cleavage products (Figure S10) by the molecular ion($[\text{M}+\text{Na}]^+$) at m/z 539.4487 as *N,N*-
14 di-dodecyl-4-formyl-3-nitrosobenzamide (**7**),⁴⁷ confirming photolysis of the Fa-ONB lipid by
15 a Norrish type-II reaction as shown in Figure 1a.
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29 Next, we investigated the pH-induced cleavage of Fa-ONB by dissolving the lipid in different
30 phosphate buffers (pH=4.2, 6.5, 7.4, 8.0 and 8.5). TLC (Figure S11 in the Supporting
31 Information) indicated cleavage of Fa-ONB lipid at pH values corresponding to an abnormal
32 physiological environment, and the further the pH value was far away from physiological level
33 (higher or lower), the greater degree of the cracking observed.⁶⁸ Samples at pH=6.5 and pH=8.5
34 were selected for analyzing changes in the IR spectra (Figure S12) and showed that the $\nu_{\text{as}}\text{NO}_2$
35 and $\nu_{\text{s}}\text{NO}_2$ did not disappear after dissociation, i.e., that the Ar- NO_2 moiety still exists after
36 hydrolysis. Mass spectrometry showed (Figure S13) that the Fa-ONB was hydrolyzed at
37 pH=6.5, with a characteristic peak at m/z 533.46 $[\text{M}+\text{H}]^+$ corresponding to *N,N*-didodecyl-4-
38 (hydroxylmethyl)-3-nitrobenzamide (**8**; this compound unambiguously identified using MS
39 and NMR (Figures S14, S15) after separation by column chromatography). We thus verified
40 the acid-driven cleavage mechanism of Fa-ONB lipid as shown in Figure 1b. By comparing its
41 solution with saturated NaCl, it was confirmed that the Fa-ONB lipid exhibits excellent pH
42 buffering ability (Figure 1d), which is beneficial to the differential release at the tumor site. In
43 summary, the above results demonstrate that the Fa-ONB lipid is susceptible to cleavage by
44 the two designed inducing stimuli (pH and light).
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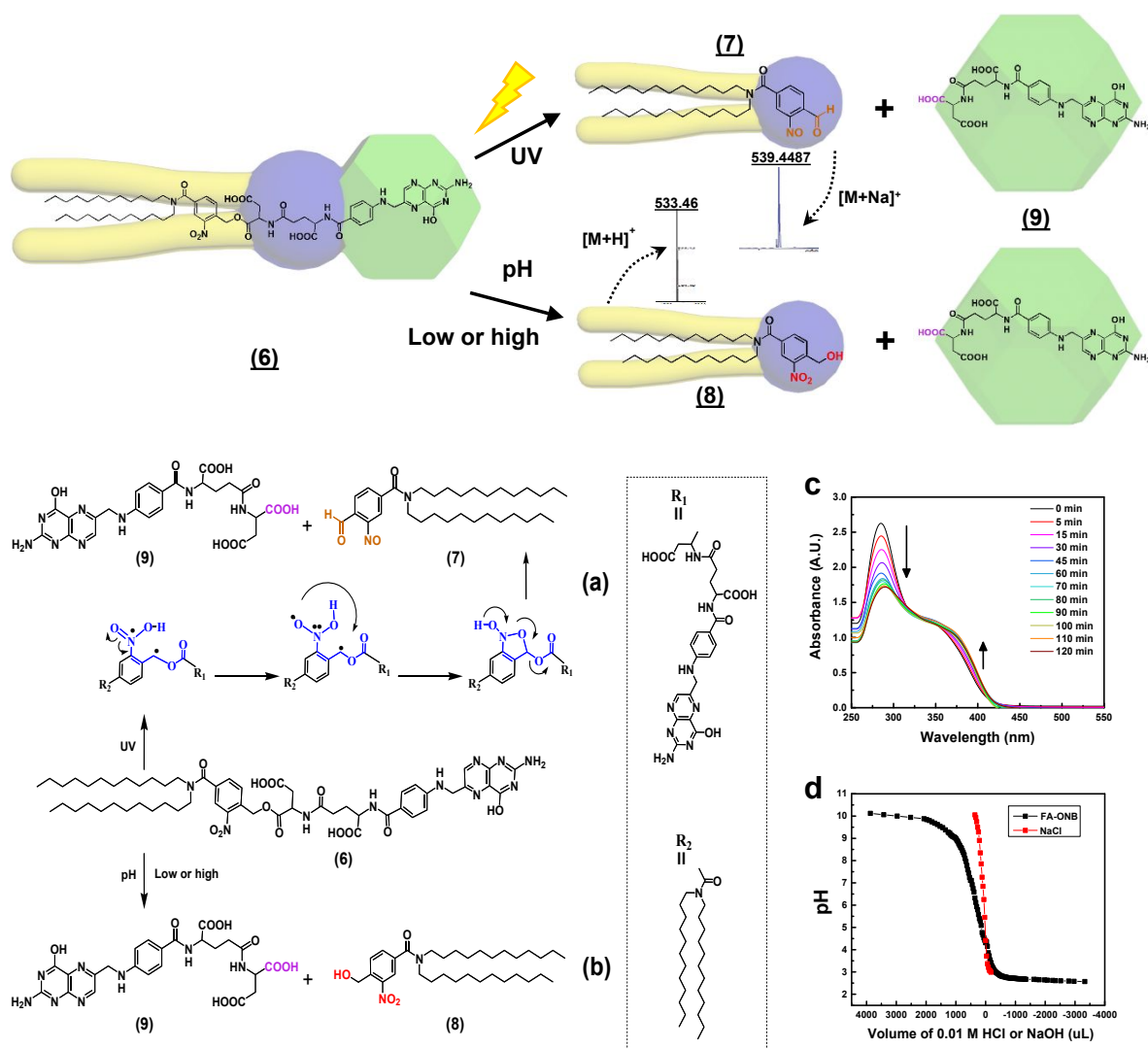


Figure 1. pH and light can cleave the Fa-ONB lipid in two different mechanisms with different lipid fragments. (Top) Mechanism of photolysis (a) and acid-catalyzed hydrolysis (bottom, b) of Fa-ONB. (c) The UV absorption spectrum shows the photolysis of the Fa-ONB lipid. (d) Buffering capacity of the Fa-ONB lipid (with saturated NaCl solution as the control).

3.3 Effect of ultraviolet on the morphology of Fa-ONB liposomes under different pH environments

After confirming that the Fa-ONB lipid is sensitive to pH-triggered hydrolysis and photolysis, we investigated whether this lipid cleavage provides benefits for releasing drugs encapsulated by liposomes. Fa-ONB lipids were compounded with different proportions of the neutral lipid DOPC to select the best performing liposomes for subsequent studies. As shown in Figures S18 and S19 in the Supporting information, Fa-ONB forms liposomes, and zeta potential

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4 measurements of mixed lipid liposomes and mixtures of liposomes demonstrate that the Fa-
5 ONB lipid and DOPC form mixed (FOBD) liposomes. While we prepared liposomes by
6 sonication of hydrated lipid films to compare whether different proportions of DOPC and Fa-
7 ONB lipids would affect the size of FOBD liposomes, FOBD liposomes may also be prepared
8 by extrusion to yield particles of a smaller, composition-independent size (see Figure S20 in
9 the Supporting Information). Four different pH phosphate buffers were selected to model *in*
10 *vivo* conditions: pH=8.5, as a control condition (slightly alkaline); pH=7.4, physiological
11 environment; pH=6.5, the slightly acidic extracellular environment of the tumor; and pH=4.2,
12 the acidic environment in late endosomes/lysosomes. The induced morphological and
13 dimensional changes were studied by irradiating 0.25 mM empty FOBD73, FOBD55, FOBD37
14 liposomes different lengths of times in those buffers. In neutral PBS without light illumination,
15 FOBD liposomes are stable for at least 15 days: Figure S16 and Figure S17 show the size and
16 UV absorption of unirradiated FOBD55 liposomes over time; the particle size is maintained
17 around 200 nm, and the UV absorption spectrum does not change.

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33 Figures 2b, 2e and 2h show the changes in the UV absorption spectrum of FOBD55 liposomes
34 during continuous illumination for 120 min in different pH environments. At each pH, the peak
35 intensity at 282 nm decreases and the peak intensity at 340 nm increases with increasing
36 illumination time. Moreover, the lower the pH of the suspension, the greater was the observed
37 variation of peak heights. By comparing with the UV spectral changes of FOBD73 and
38 FOBD37 in Figure 2a, 2d, 2g and Figure 2c, 2f, 2i, it can be inferred that the greater the
39 proportion of Fa-ONB in the FOBD liposome, the more pronounced the curve changes. Figure
40 2j shows the particle size of the FOBD55 liposome varies with UV exposure time in PBS. In
41 UV irradiation groups, the particle size of the liposomes was initially about 200 nm.
42 Subsequently, the size and width distribution of the liposomes gradually increased with the
43 increase of irradiation time. After 120 min of exposure, the average size of FOBD55 liposomes
44 reached 1000 nm, nearly five-fold.

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58 We also found that there are two peaks in the size distribution determined with DLS in the late
59 stage (60 min and more) of illumination, with the second peak at a size below 100 nm. A

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4 possible explanation for this peak, which is near the size range expected for micelles, is that
5 the folic acid derivative arising from cleavage of the Fa-ONB lipid (compound 9, see Figure
6 1), which has a hydrophobic core with (hetero)aromatic moieties and several charged groups
7 at the periphery (at neutral pH) self-assembles into micelles (or small, nonmicellar aggregates).
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11 Figure 3a compares the change in particle size of illuminated FOBD liposomes (FOBD73,
12 FOBD55, and FOBD37) in buffers of different pH with the control group of DOPC liposomes.
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15 With increasing illumination time and greater deviation from pH=7.4, all FOBD liposomes
16 display a growth in size, which indicating that the morphology of liposomes can be gradually
17 adjusted by UV exposure or pH stimulation. In particular, when the pH of the surrounding
18 buffer changed from 7.4 to 4.2, the particle size of the three unirradiated FOBD liposomes
19 increased from ~200 nm to ~400 nm, again indicating that the FOBD liposomes have excellent
20 pH-induced release potential. The pH-dependent change in liposomes with increasing UV
21 exposure time is consistent with the desirable photo-release effect in the acidic environment of
22 the tumor, which can be beneficial to protecting normal tissues during photo-treatment.
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25 Interestingly, unlike in the UV absorption spectrum changes, the proportion of Fa-ONB lipids
26 has only a weak effect on the change in particle size, suggesting that the change in liposome
27 structure is slower than the molecular changes.
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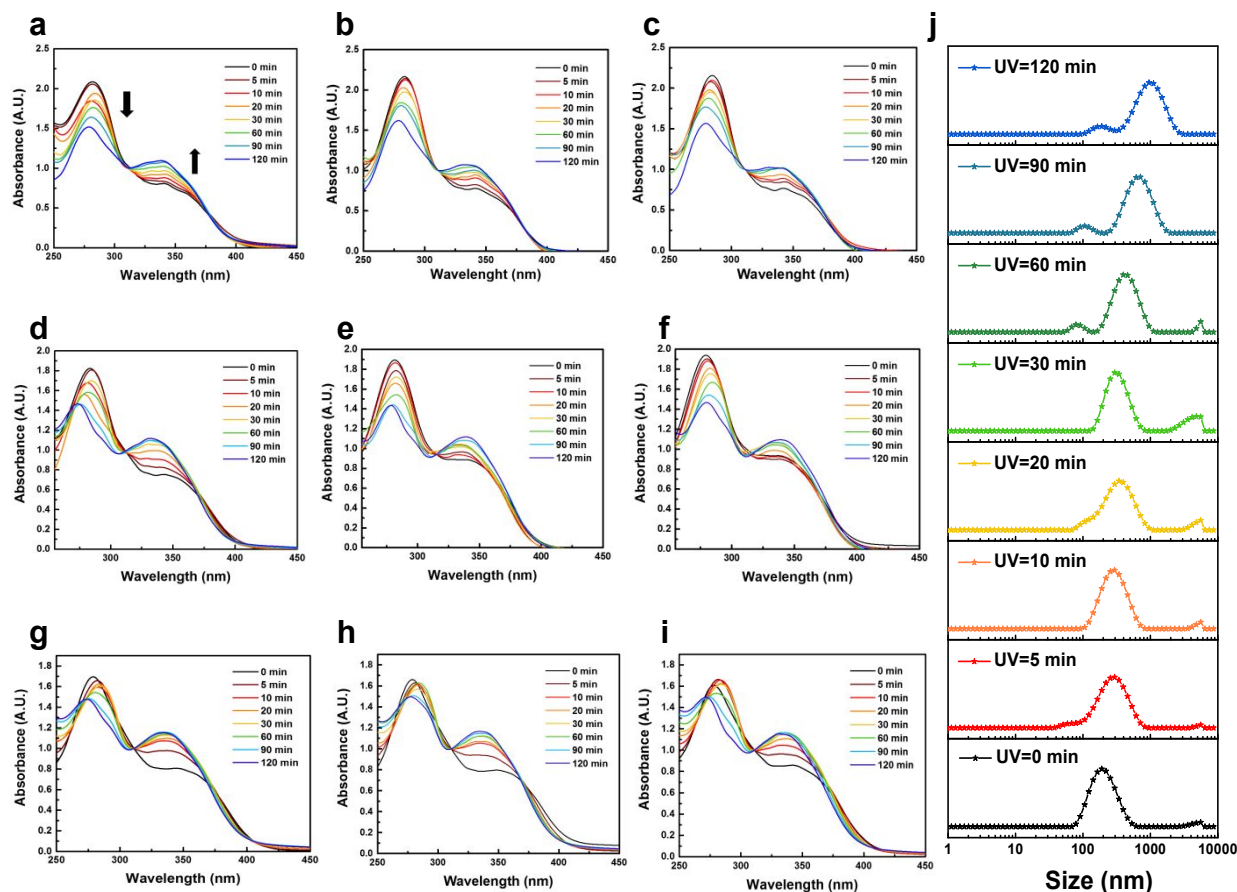


Figure 2. The effect of UV irradiation on FOBD liposome size and morphology at different pH environments. (a-c) The change of the UV absorption spectrum of FOBD73, FOBD55 and FOBD37 liposomes at pH=7.4 (left to right). (d-f) The change of the UV absorption spectrum of FOBD73, FOBD55 and FOBD37 liposomes at pH=6.5 (left to right). (g-i) The change of the UV absorption spectrum of FOBD73, FOBD55 and FOBD37 liposomes at pH=4.2 (left to right). (j) Representative data, the particle size of the FOBD55 liposome varies with UV exposure time.

Transmission electron microscopy (TEM) was used as another method to analyze the cleavage of FOBD liposomes. As shown in Figure 3b and Figure 3d, TEM showed that the unexposed empty FOBD55 liposome was a regular 200 nm double-layered sphere. After 10 minutes of UV exposure, a large number of medium-sized vesicles of about 400 nm began to appear, and the average size of the liposomes increased to about 280 nm (Figure 3c and 3e). After 60 min of illumination, the small vesicles around 200 nm in the solution have almost disappeared, but

larger vesicles around 800 nm have appeared. The average size of nano-particles is now increased to approximately 360 nm (Figure 3f).

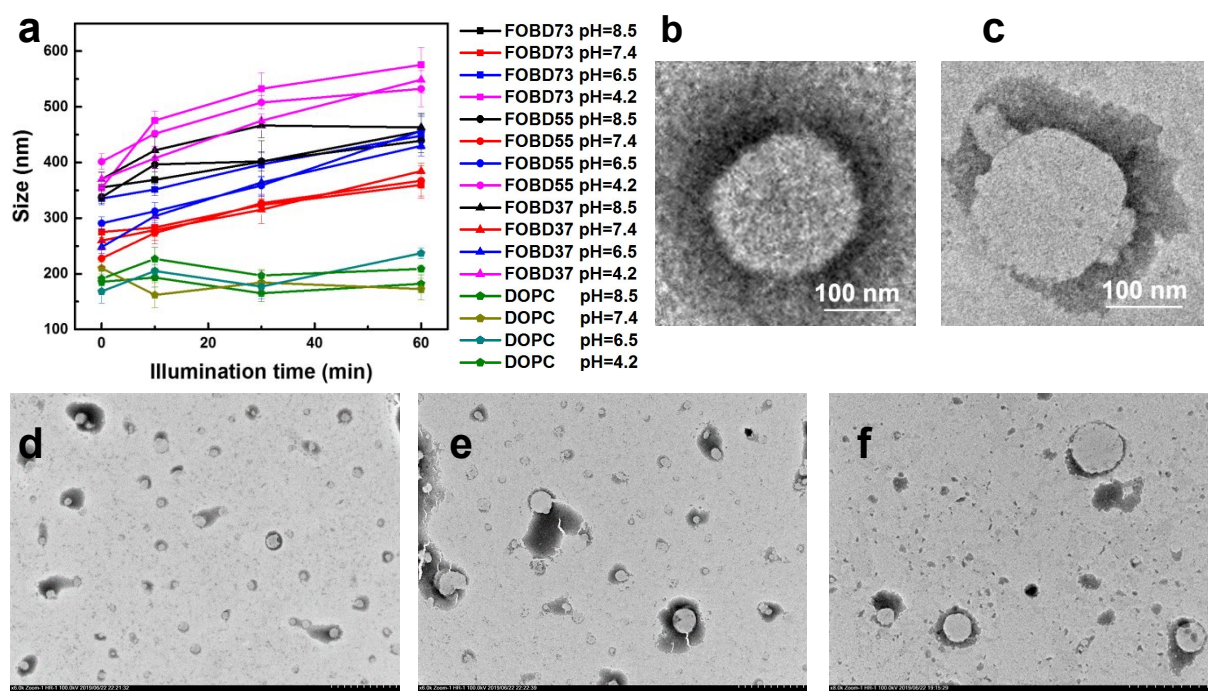


Figure 3. The effect of light treatment on the morphology and size of FOBD liposomes. (a) The size change in empty FOBD liposomes at different pH environment with different lengths of UV exposure time (b) TEM micrograph of one FOBD55 liposome (before UV irradiation). (c) TEM imaging of one cleaving FOBD55 liposome (The Fa-ONB lipids is dissociating and reconstructing to a new liposome, after 10 min of irradiation). (d) A lower-magnification TEM micrograph of FOBD55 liposomes prior to UV irradiation. (e) A lower-magnification TEM micrograph of FOBD55 liposomes after 10 min of UV irradiation (f) A lower-magnification TEM micrograph of FOBD55 liposomes after 60 min of UV irradiation.

3.4. Characterization of Light- and pH-triggered release in DOX/FOBD liposomes

For investigating the triggered release capability of drug-loaded FOBD liposomes, we chose to encapsulate doxorubicin hydrochloride (DOX, a well-studied anticancer drug with self-fluorescent) as a drug-loaded model. Therefore, fluorescence signal can be used for assessing the active targeting ability of DOX-loaded FOBD liposomes (DOX/FOBD liposomes) *in vivo*. Using confocal microscopy, we showed that DOX can be encapsulated in FOBD liposomes (see Figure S21 in the Supporting Information). We then measured the encapsulation efficiency

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4 of FOBD liposomes and DOPC liposomes (Figure 4a). It can be seen that the larger the
5 proportion of FA-ONB lipid in the mixed lipid, the worse the encapsulation efficiency. A
6 similar trend is observed when encapsulation efficiency is measured more qualitatively by the
7 extent of reduction of DOX fluorescence (via self-quenching) that occurs upon encapsulation
8 (Figure S22 in the Supporting Information). As the drug encapsulation rate of FOBD10
9 liposomes is only 37.52%, it is conceivable that if Fa-ONB lipid is used as a drug carrier alone,
10 it may cause leakage of the drug *in vivo*, which is not conducive to precise treatment. Therefore,
11 only DOPC composite liposomes were used for further studies. The encapsulation efficiency
12 of both FOBD55 and FOBD37 liposomes is greater than 69%, which is higher than most
13 reported drug loading systems⁷¹⁻⁷³.
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25 The experiments presented in the previous section confirmed that the environmental pH plays
26 a role in accelerating the lysis of FOBD liposomes. For this reason, we prepared the
27 DOX/FOBD liposomes in a PBS environment and exposed them to ultraviolet light for
28 different lengths of time to study the relationship between size and illumination conditions.
29 Figure 4b and 4c show that with increasing exposure time (0–60 min), the size of the
30 nanoparticles progressively increased from 290 nm (see also TEM data, Figure 4d, unlike
31 Doxil⁷⁴⁻⁷⁸) to 700 nm with a broadened size distribution. Continually extending the irradiation
32 times, the diameter of the liposomes decreased fast and finally dropped to about 400 nm (see
33 also TEM data; Figure 4e). At the same time, a red (DOX containing) precipitates (Figure 4f)
34 rapidly appear in UV-exposed samples, indicating that, as expected, ultraviolet light is a
35 suitable trigger for accelerating the releasing process of FOBD liposomes. Figure 4b also shows
36 the particle size changes of DOX/FOBD73, DOX/FOBD55 and DOX/FOBD37 under the same
37 conditions. It can be seen from the figure that the change is largest for DOX/FOBD55
38 liposomes, followed by DOX/FOBD73 and finally DOX/FOBD37 liposomes. This is
39 inconsistent with the FOBD data without the drug. We speculate that DOX may
40 electrostatically combine with the fragmented folic acid derivative to form the observed
41 precipitate (Figure 4f) which contains DOX (red color) but is amorphous (see Figure S23, the
42 WAXRD data in Supporting Information). In FOBD73, because of the high content of FA-
43 ONB lipid, the inflection point (after which the particle size of the liposomes begins to decrease)
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4 occurs first (after 40 min of illumination). FOBD55 shows the inflection point after 60 minutes
5 while in FOBD37, because the content of Fa-ONB is small, the change in the particle size is
6 not as obvious as the other two groups. As we have hypothesized before,⁵⁴ we speculated that
7 there are two competing behaviors in the dissociation process of DOX/FOBD liposomes. First
8 is the growing phenomenon of liposomes following the cleavage of Fa-ONB lipids, like the
9 dissociation of empty FOBD liposomes. At the beginning (0-60 min), as the resulting ONB
10 lipids are still contained in the cleavage liposomes, the size of liposomes gradually grows,
11 accompanied by an initial release of DOX. Over a longer period of irradiation time (> 60
12 minutes), the released DOX competitively contains ONB lipids, compound 9, or DOPC to form
13 a precipitate, which results in a reduction in liposome size becomes to the dominant process.
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25 For quantifying the relationship between environmental pH, irradiation time, and release ability,
26 DOX/FOBD liposomes that had been irradiated for different lengths of time, were dialyzed in
27 different buffers. Figures 4g, 4h, and 4i plot the cumulative DOX release curve in four buffers
28 (pH=8.5, 7.4, 6.5 and 4.2) for the irradiated DOX/FOBD73, DOX/FOBD55, and
29 DOX/FOBD37 liposomes, respectively. Note that even the release of free DOX (as measured
30 by the dialysis method) does not reach 100% and is pH-dependent. For all the unexposed
31 samples, an increase in environmental acidity increases not only the cumulative release of DOX,
32 but also the speed of drug release (the slope at 0–6 h). In all four buffer solutions, the release
33 amount and release efficiency of all samples decreased continuously with the UV exposure
34 time of the samples. This counterintuitive effect occurs because the light accelerates the
35 dissociation of the Fa-ONB lipid, especially in a more acidic environment (consider, e.g.
36 DOX/FOBD73 at pH=4.2, comparing the unexposed sample with the sample irradiated for 10
37 min: release is significantly lower with UV irradiation). The longer the exposure time, the more
38 insoluble doxorubicin precipitate is produced, and the less drug passes through the dialysis
39 membrane. The differences in DOX/FOBD liposome photodissociation and release at different
40 pH can be used to distinguish tumor tissues from normal cells, which can provide further
41 precision in targeted treatment.
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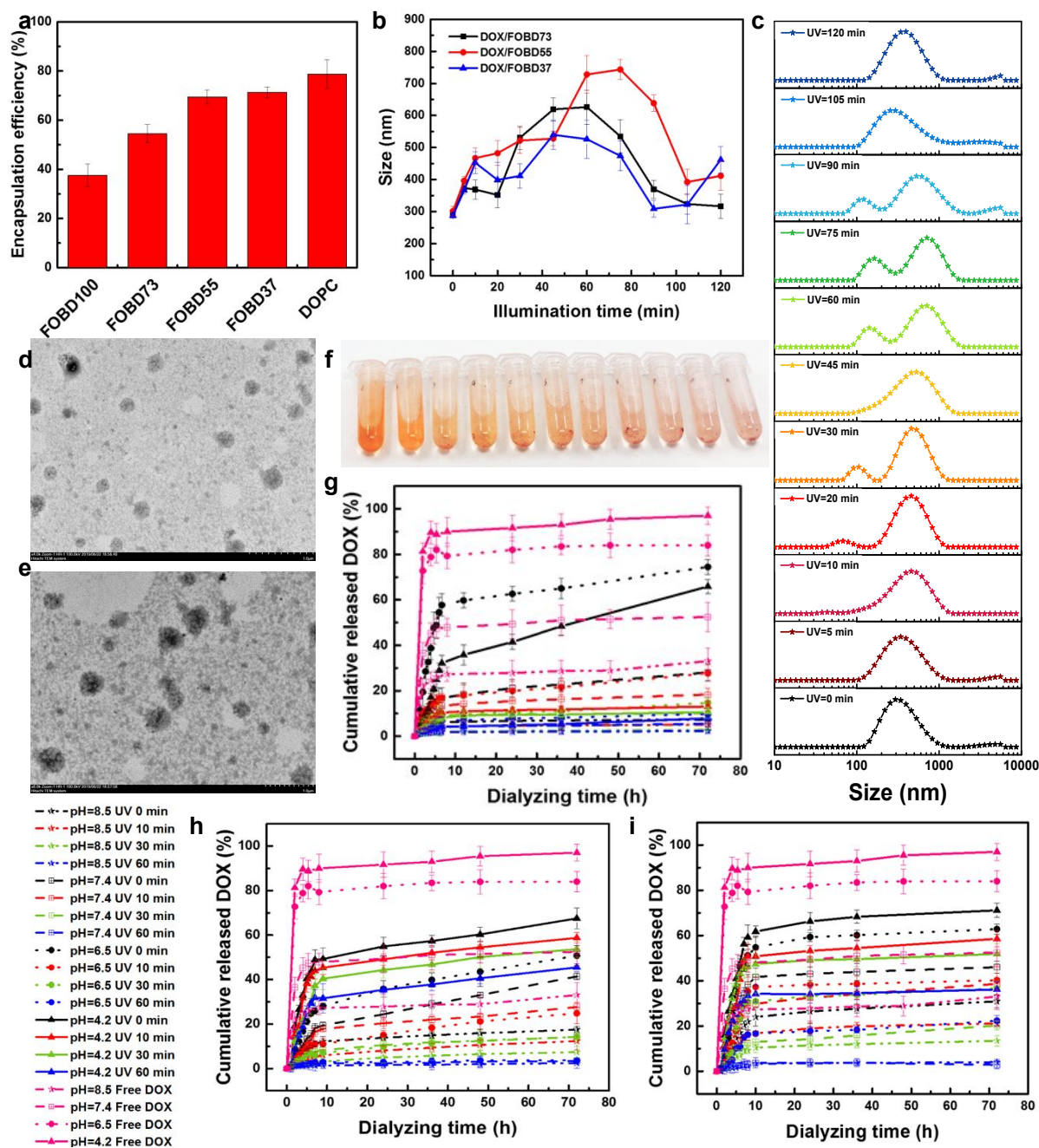


Figure 4. pH- and Photo release properties of DOX/FOBD liposomes *in vitro*. (a) Encapsulation efficiency of DOX/FOBD liposomes compared with DOPC liposomes. (b) The relationship between particle size change and exposure time of DOX/FOBD73, DOX/FOBD55 and DOX/FOBD37 in PBS. (c) The changes in the size of DOX/FOBD55 liposomes with different UV exposure time (in PBS). (d) A TEM micrograph of an unexposed DOX/FOBD55 liposome sample. (e) TEM imaging of the supernatant of the exposed DOX/FOBD55 sample (120 min). See Figure S24 in the Supporting Information for the original (full-size) TEM micrographs. (f) Macroscopic appearance of exposed DOX/FOBD55 liposomes (the time

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3 interval is the same as in Figure 4c). The DOX-containing (red) precipitate is formed and
4 increased with the irradiation time. (g) The cumulative release of DOX from FOBD73
5 liposomes at different lengths of UV exposure time (UV light, 0, 10, 30, and 60 min) in four
6 pH environments. (h) Cumulative release curve of FOBD55 liposomes, same conditions as (g).
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8 (i) Cumulative release curve of FOBD37 liposomes, same conditions as (g).
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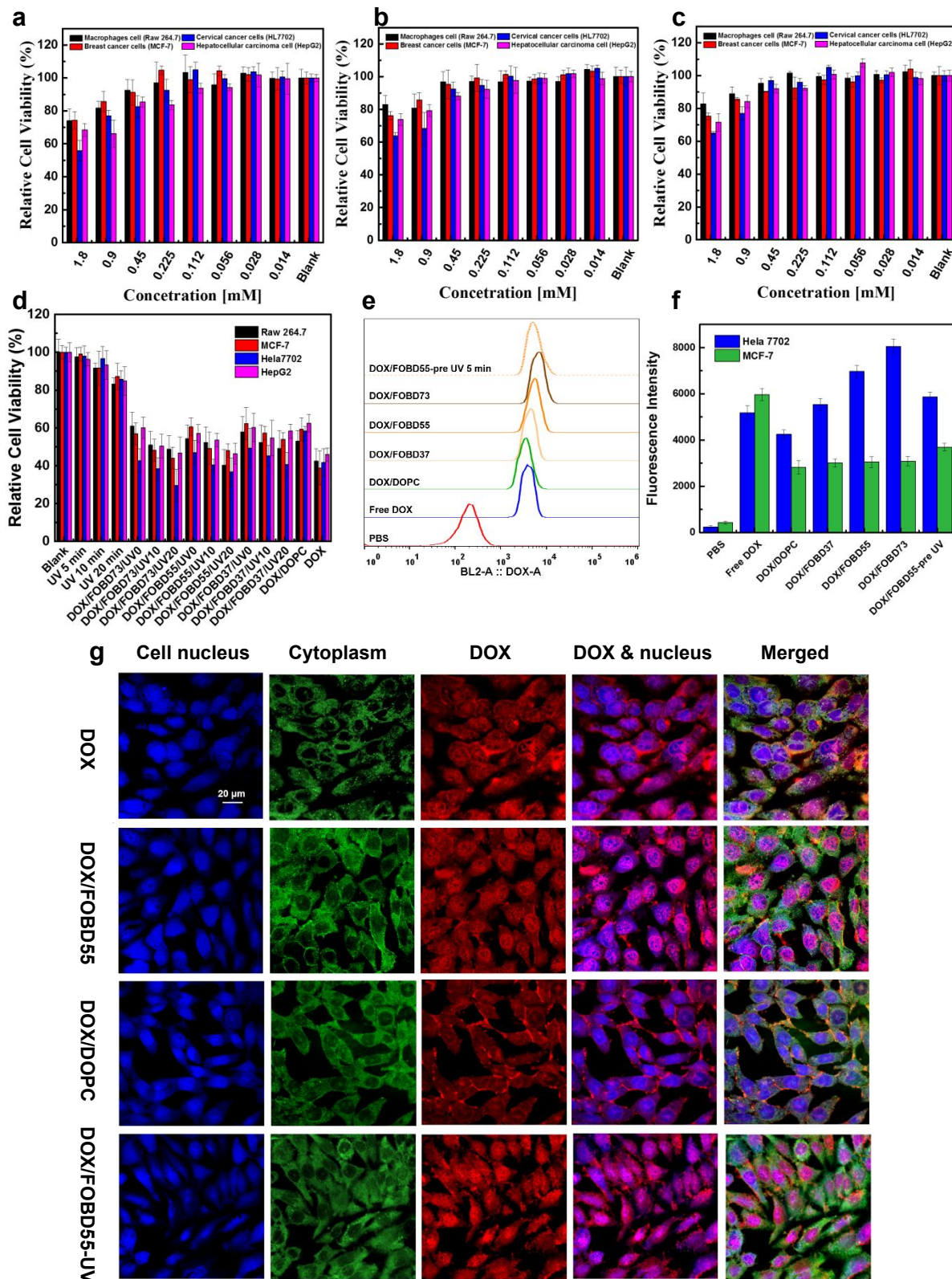
15 **3.5. Performance of FOBD liposomes in cells**

16 **3.5.1 Cytotoxicity of FOBD and DOX/FOBD liposomes**

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18 Macrophages (Raw 264.7), breast cancer cells (MCF-7), cervical cancer cells (Hela7702) and
19 liver cancer cells (HepG2) were used to assess the targeting and cytotoxicity of FOBD and
20 DOX/FOBD liposomes. As shown in Figures 5a-c and Figure S25 (cytotoxicity of empty
21 DOPC liposomes), the higher the concentration of FOBD, the greater the toxicity to these four
22 cells. An increase of Fa-ONB lipid content in FOBD liposomes did not change the toxicity to
23 macrophages, liver cancer, and breast cancer cells but significantly increased cytotoxicity
24 against Hela cells, which suggests that the Fa-ONB lipid has a stronger targeting effect on Hela
25 cells. For the subsequent studies of the targeting effect of FOBD liposomes and their ability to
26 target cancer cells over normal cells, we selected 0.25 mM as the concentration of FOBD
27 liposomes to encapsulate DOX and test the cytotoxicity of the drug-loaded liposomes.
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41 To study the light-triggered delivery of DOX by FOBD liposomes, we first irradiated the four
42 selected types of cells with UV light for 0-20 min (365 nm, 60 W) to determine the damage to
43 cells from exposure to UV light. As shown in Figure 5d, the viability of cells only decreased
44 slightly. We then added 0.25 mM DOX/FOBD liposomes (same amount of loaded DOX in all
45 samples) to cells and exposed them under ultraviolet light for 0, 10 or 20 minutes. After 24
46 hours, the survival of Hela cells in the DOX-loaded FOBD group was significantly reduced
47 compared to the DOX/DOPC and free DOX groups, and the higher the content of Fa-ONB in
48 the mixed liposomes, the lower the survival of the Hela cells. For the light-treated group, the
49 viability of all four cell lines gradually decreased with increasing irradiation time. This shows
50 that the drug release as measured by dialysis is not necessarily indicative of release inside cells
51 (i.e., the precipitate remains biologically active or formation of the precipitate is suppressed
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inside the cell) and suggests that additional phototherapy can precisely regulate the release of DOX.



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4 **Figure 5.** The cytotoxicity of FOBD and DOX/FOBD liposomes. (a) Cytotoxicity of FOBD73
5 liposomes versus concentration, against (Raw 264.7, MCF-7, Hela7702, and HepG2). (b)
6 Cytotoxicity of FOBD55 liposomes. (c) Cytotoxicity of FOBD37 liposomes. (d) The effect of
7 active targeting and UV treatment, free DOX, 0.25 mM DOX/FOBD liposomes and 0.25 mM
8 DOX/DOPC liposomes on the viability of Raw 264.7, MCF-7, Hela7702 and HepG2 cells. (e)
9 Flow cytometry of DOX in HeLa cells after 90 min incubation with DOX, DOX/DOPC,
10 DOX/FOBD73, DOX/FOBD55, DOX/FOBD37 and pre-exposed DOX/FOBD55 liposomes.
11 (f) The uptake of DOX in free DOX, DOX/DOPC, DOX/FOBD73, DOX/FOBD55,
12 DOX/FOBD37 and pre-exposed DOX/FOBD55 groups were compared between HeLa and
13 MCF-7 cells (flow cytometry data, BL2-A DOX). (g) Confocal imaging of free DOX,
14 DOX/DOPC, DOX/FOBD55 and pre-exposed DOX/FOBD55 liposomes on Hela cells after 90
15 min of incubation.

3.5.2 Cellular uptake of DOX/FOBD liposomes

31 Flow cytometry was used to test the uptake of FOBD liposomes and control samples in HeLa
32 cells. As shown in Figure 5e, DOX/DOPC has the lowest uptake rate, followed by free DOX.
33 This difference likely stems from the fact that free small DOX molecular can enter the cells by
34 diffusion, but for the larger liposomes, they entry into cells depends on the slower process of
35 endocytosis. It is worth noting that the FOBD groups had the highest uptake rate which also
36 gradually increased with the increase in the proportion of Fa-ONB lipid in the mixed liposome.
37 This is likely because Fa-ONB lipids can target folate receptors on Hela cells and thus enter
38 cells most rapidly via active transport mechanisms. Although FOBD73 liposomes showed the
39 best targeting ability, their drug encapsulation ability is weak and could lead to drug leakage
40 *in vivo*. Therefore, DOX/FOBD55 liposomes were selected for further testing.

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52 We next studied the photo-dissociation properties of DOX/FOBD55 liposomes inside of cells.
53 After 2.5 hours, the above-mentioned DOX-loaded liposome group was almost saturated in the
54 uptake of the living cells. In addition, flow cytometry only yields the fluorescence intensity of
55 whole cells, meaning that it is unable to distinguish whether DOX is in the cytoplasm or the
56 nucleus. Therefore, we prepared another sample that was dissociated by UV irradiation before
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4 adding it to the cells, to use the differential intake of free DOX and DOX/FOBD to test its
5 photolysis characteristics. In Figure 5e, the cellular uptake of the UV pre-treated
6 DOX/FOBD55 liposome group was between the DOX/FOBD55 group and the free DOX
7 group, again validating our hypothesis that FOBD liposomes can be partially dissociated after
8 a short time illumination, releasing a small amount of free DOX. A reduction in intact Fa-ONB
9 lipids in this sample by the photolysis results in a reduced targeting effect and a decrease in
10 cellular uptake.
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19 We used flow cytometry with cells expressing (HeLa) and not expressing (MCF-7) folate
20 receptors to further verify the targeting and photolysis properties of DOX/FOBD liposomes.^{79,}
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22 ⁸⁰ As shown in Figure 5f, the increasing proportion of Fa-ONB lipid in the mixed liposomes
23 had no significant effect on the uptake by MCF-7 cells but led to an increase in uptake by HeLa
24 cells, demonstrating the selective targeting ability of FOBD liposomes. In the MCF-7 cells, the
25 cellular uptake of DOX for the pre-UV group was higher than that of any of the FOBD groups.
26 This is because, for the MCF-7 group, both the DOX/DOPC and DOX/FOBD groups slowly
27 entered the cells by endocytosis (rather than receptor-mediated endocytosis as in the HeLa
28 cells), whereas the free DOX transferred into the cells at a faster rate. In the pre-UV group, part
29 of the FOBD liposomes dissociated due to the exposure to light, releasing free DOX and
30 accelerating the rate of DOX entering the cell.
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42 For a visual comparison of their effects, free DOX, DOX/DOPC, DOX/FOBD55, and pre-
43 treated DOX/FOBD55 liposomes were applied to HeLa cells and the cells incubated for 90 min
44 before imaging by confocal microscopy (Figure 5g). Consistent with the data in Figure 5e, the
45 fluorescence of DOX was evenly distributed in the nucleus and cytoplasm in cells treated with
46 free DOX. However, in cells treated with DOX/DOPC, the fluorescence of DOX was mainly
47 in the cytoplasm. DOX/FOBD55 liposomes enter the cells faster, and the DOX carried by them
48 is mainly enriched in the nucleus. In the pre-illuminated DOX/FOBD55 group, since the
49 FOBD55 liposomes were partially lysed and released some of the free DOX prior to application
50 to the cells, DOX was observed in both the cytoplasm and nucleus (with the fluorescence in
51 the nucleus less than that in the DOX/FOBD55 group). The above data again confirmed that
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4 FOBD liposomes have active targeting ability and photo-release capabilities which can be fine-
5 tuned by UV irradiation.
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9 **3.6. The tumor targeting and dual triggering performance of DOX/FOBD liposomes *in*** 10 ***vivo***

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13 The real-time fluorescence imaging system was used for further investigating the active
14 targeting and dual-trigger release capabilities of DOX/FOBD55 liposomes *in vivo*. The
15 detection of DOX fluorescence can be used to determine the location of FOBD liposomes, as
16 Fa-ONB and DOPC are nonfluorescent. To assess for active targeting ability, we chose
17 DOX/DOPC liposomes as the control group. As shown in Figure 6, at 0.5 h post-injection, both
18 DOX/DOPC and DOX/FOBD liposomes were visible at the tumor site. However, after 1 h of
19 injection, the brighter fluorescence of the tumor site in the DOX/FOBD55 group indicates that
20 the FOBD liposomes aggregate more rapidly in tumors than the DOPC liposomes that have
21 only EPR capabilities (passive targeting). At 2 h post-injection, the fluorescence intensity at
22 the tumor site is almost equivalent, suggesting that most of the liposomes (both DOX/DOPC
23 or DOX/FOBD) have accumulated at the tumor site. At this time, the tumor site of the
24 phototherapy group was intermittently irradiated with UV light to accelerate the uptake of DOX
25 by the nucleus. At 4 h post-injection, the fluorescence intensity of the DOX/DOPC group began
26 to decrease, while the DOX/FOBD groups (with and without photo-treatment) were almost
27 unchanged (showing even a slight increase). We speculate that at this time, a large quantity of
28 DOX/DOPC vesicles at the tumor site are cleared by metabolism while in the DOX/FOBD and
29 DOX/FOBD-UV groups, due to their active targeting ability and subsequent cellular uptake,
30 the fluorescence intensity remains high. At 6 h and 12 h post-injection, although the
31 fluorescence intensity of the DOX/FOBD groups (with and without photo-treatment) is
32 gradually weakened, it remains significantly higher than the DOX/DOPC group, confirming
33 the active targeting of FOBD liposomes. In addition, *ex vivo* imaging of organs and excised
34 tumors shows both DOX/FOBD liposome groups produced a stronger DOX signals at the
35 tumor site than that in DOX/DOPC group, especially for the DOX/FOBD with light treatment
36 group.
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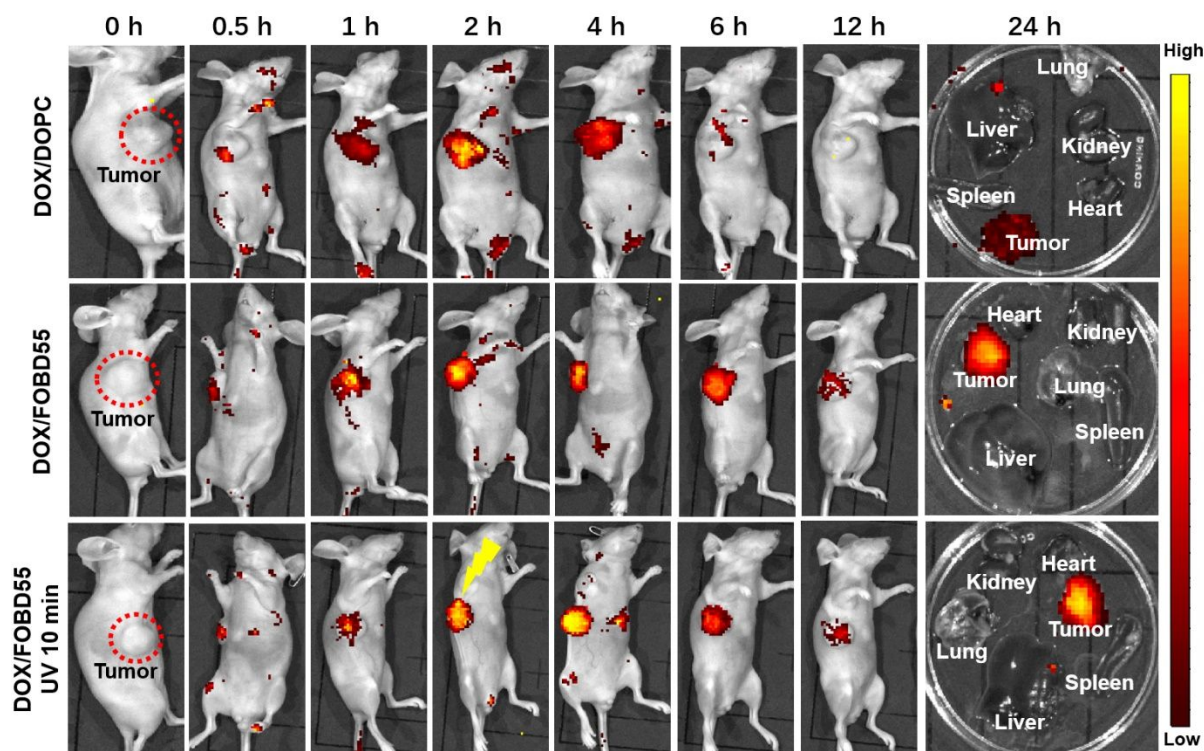


Figure 6. *In vivo* fluorescence imaging of mice with different treatment regimens

3.7. Tumor suppression study

HeLa tumors were implanted under the armpit of nude mice to evaluate the chemotherapy effect of DOX/FOBD liposomes. The mice were randomly divided into 5 groups: control (PBS), free DOX, DOX/DOPC, DOX/FOBD55 and DOX/FOBD55-UV. Tumors were treated over 30 days (Every 2 days, injection 200 μ L; DOX dose 2.5 mg/kg; the tumor site in the light-treatment group were intermittently exposed to ultraviolet light (365 nm, 60 W) for 10 min, after 2 h of injection). As shown in Figure 7a and 7b, within 30 days, in the PBS (control) group, the tumor volume increased rapidly from 95 to 1815 mm^3 (while the body weight increased from 19.19 to 23.49 g). In the free DOX group, the treatment inhibited the growth of tumors (99 to 691 mm^3), but showed strong side effects (body weight from 19.15 to 16.22 g). Compared to the DOX/DOPC group (96 to 479 mm^3 , 19.42 to 22.56 g), the tumor treatment was more successful in the DOX/FOBD55 group (95 to 316 mm^3 , 19.53 to 22.80 g). A possible explanation for this therapeutic effect is the active targeting and acidic (tumor environment) cleavage of FOBD liposomes. The DOX/FOBD-UV group displayed the most obvious tumor inhibition effect (95 to 239 mm^3 , body weight from 20.28 to 22.94 g), once again confirming

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4 that photo-release can effectively enhance the release of DOX and promote cancer cell
5 apoptosis. Figure 7c shows the resected tumors after the end of treatment protocol for more
6 direct observation of the treatment efficacy in each group. After sectioning and H&E staining,
7 confocal microscopy (Figure 7d and 7e) was used to reveal the uptake of DOX in each tumor
8 section (observing DOX intensity signal (green fluorescence)). As can be seen, the
9 fluorescence of DOX in the light-therapy group is highest, the DOX/FOBD group is second-
10 highest, the DOX/DOPC group is the third and the free DOX group is lowest, which is
11 accordant with the resected tumor fluorescence imaging data in Figure 6. This again confirms
12 that light therapy can further improve the release efficiency of FOBD liposomes. In Figure 7f,
13 H&E staining of the organs and tumor of the mice were used for histological evaluating the
14 biocompatibility of DOX/FOBD in the DOX/FOBD and DOX/FOBD-UV groups. Unlike the
15 unclear membranes and inflammatory infiltration of the liver section observed for the free DOX
16 group, all other major organ sections in the PBS, DOX/DOPC, DOX/FOBD, DOX/FOBD-UV
17 groups showed negligible damage. Therefore, the results of therapeutic and histological
18 analyses prove the prospect of multifunctional FOBD liposomes in low toxicity treatment
19 applications.
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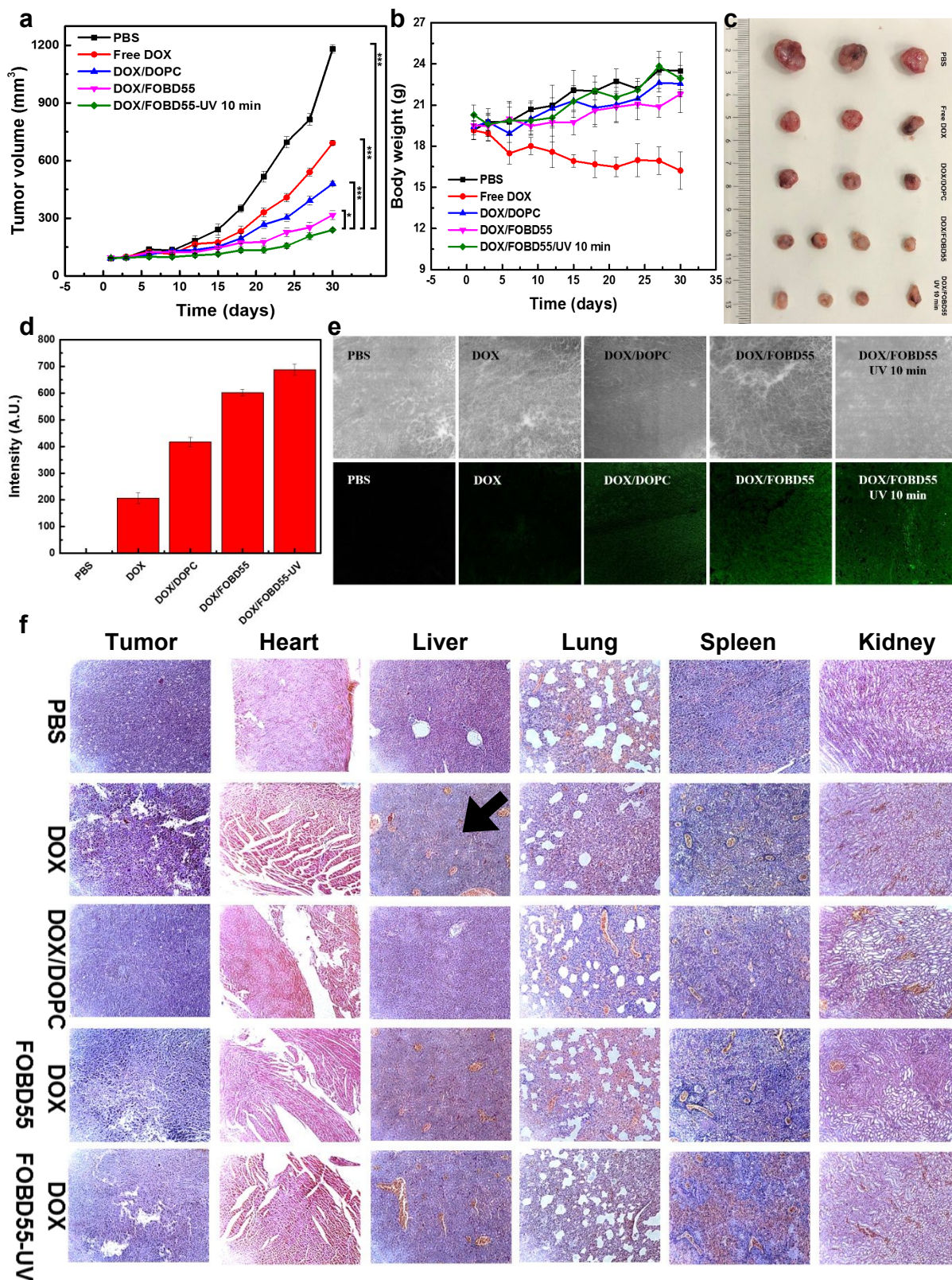


Figure 7. The tumor suppression study of DOX/FOBD55 liposomes. (a) The average cervical tumor volume varies with treatment time (*: $p < 0.09$, ***: $p < 0.001$; $p = 0.082796$ for DOX/FOBD55 to DOX/FOBD55-UV group). (b) The average body weight of mice varies with treatment time. (c) Excised

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4 tumor of mice (day 30, end of the therapeutic protocol). (d) Comparison of the average fluorescence intensity
5 of tumor sections (e) Confocal fluorescence (Olympus FV1000, 20×) slice imaging of tumor tissue in
6 different treatment protocols. The top is the brightfield images and the bottom shows the fluorescence (DOX)
7 channel images (green). (f) Representative micrographs (20×) of stained (hematoxylin and eosin) slices from
8 tumor and main organs. Black arrow indicates the unconventional morphology of mouse liver cells.
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14 15 **4. Conclusion**

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17 We designed and synthesized a new lipid (Fa-ONB) for self-assembly into a drug carrier with
18 the ability to actively target the tumor tissue and a dual-source response to modulate drug
19 release for precise medical treatment. DOX/FOBD liposomes showed a pH-triggered release
20 ability and the release efficiency increased as the difference between environmental pH and
21 neutral pH increased. Exposure to UV light can further trigger liposome lysis and promote the
22 local release of the drug. We demonstrated the active targeting and pH-light dual-induced
23 dissociation properties of FOBD liposomes by flow cytometry, confocal imaging and real-time
24 fluorescence of mouse tumor models. In a xenograft cancer model, both UV-irradiated
25 DOX/FOBD liposomes and DOX/FOBD liposomes inhibited tumor growth more than the
26 DOX/DOPC and free DOX control groups. Ultraviolet radiation improved the treatment
27 efficiency, showing the advantages of synergistic controlled release of light and pH. The
28 proposed work provides a new insight for the design of the precision drug delivery
29 multifunctional liposomes with active targeting and controlled release properties.
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Associated content

Supporting Information

Detailed synthesis procedures, additional spectrums of Fa-ONB dissociation properties, stability of FOBD liposomes, method for preparing smaller size liposomes, confocal imaging and full-size TEM figures of DOX/FOBD liposomes, WAXRD spectrum of DOX precipitate, cytotoxicity of empty DOPC liposomes

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